Army Investigative Enterprise Solution (AIES) & the Personnel Security Investigation (PSI) Center of Excellence

April 2010

Agenda

- Welcome & Introductions
- AIES Overview & Process
- Interim Security Clearance & Policy
- Deployment
- Q & A

AIES Background

What is it?

- The Army Investigative Enterprise Solution is a holistic, end-to-end service and quality approach to security investigations that allows for:
  - Centralization
  - Quality Control
  - Standardization
  - Significant increase in the speed and success of investigations
  - Significant increase in the quality of investigation submission packages

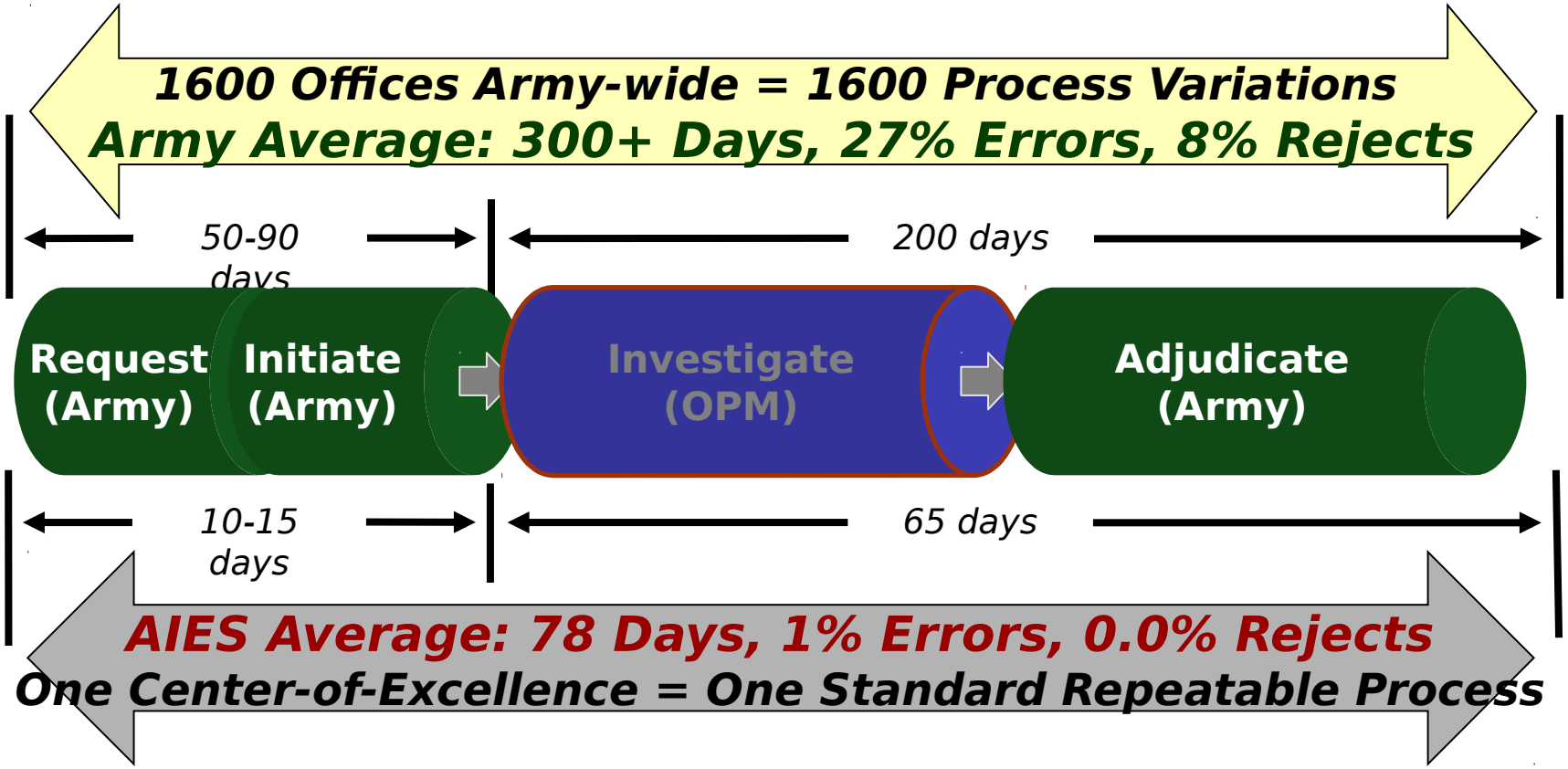
What does it mean to you?

- Relief of administrative burden tied to completing security packages
- Faster results, allowing for subjects to be cleared and working faster
- Dedicated personnel to assist applicants through the process

Vision

- Single security enterprise
- Integrated systems architecture
- Leverage existing systems and technology
- Supports Joint Security & Suitability Reform Team (JSSRT)
- End-to-End Program Management Structure

Comparison of Statistics



Improvement in the front-end of the process, leads to improvement in the rest of the process

AIES Enablers

- Digital Fingerprints
  - Reduces time, improves print quality, eliminates ink print requirement (except for some childcare investigations)
  - Contributes to complete security investigation enterprise solution
  - Required for successful compliance with HSPD-12
- Partnerships
  - Office of Personnel Management (OPM)
  - Business Transformation Agency (BTA)
  - Joint Suitability and Security Reform Team (JSSRT)
  - Biometrics Task Force (BTF)
- Single, Shared Army Portal
  - CAC-enabled to further protect personal identifying information
  - AKO-based for ease of access and information security (future state)

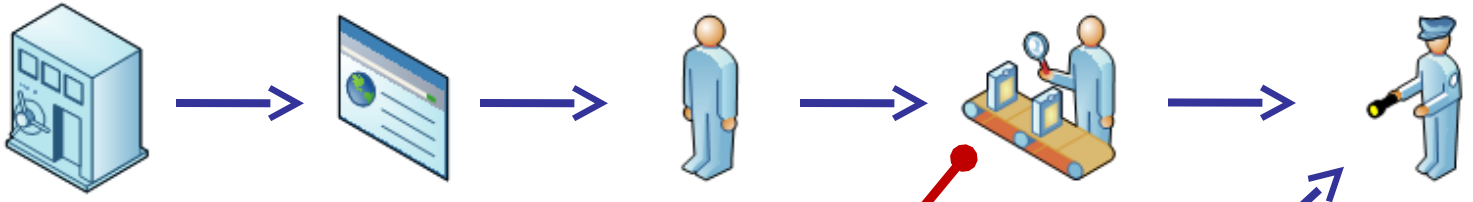
PSI – CoE Work-Flow

*Front end = identification of a PSI requirement for a particular individual until OPM schedules that investigation

Completes Forms, Attachments, & Fingerprints, as applicable

Call Center

Supports Subjects, Requesters and the Office of Personnel Management (OPM)



Requester

Web-based Workflow

Subject

PSI CoE

OPM

Requests Investigations (Clearance & Suitability)

Manages Tasks

Checks Quality, Submits SF8XXX forms to OPM and provides a copy to requester

Digital Fingerprint Station

Investigates

Simple, standardized, efficient, automated

Roles and Responsibilities

Requester:
- Provides complete and accurate contact information for subjects
- Ensures subjects are available for at least one week after submission of the request
- Arranges for fingerprint capture within 48 hours of PSIP request, as applicable
- Makes interim security clearance decision for or on behalf of Commander, as applicable

Subject:
- Fills out e-QIP online in a timely manner- 5 calendar days
- Completes fingerprint capture, as applicable
- Returns signature pages/releases to the CoE

PSI-CoE:
- Validates need for each request
- Notifies requester throughout process
- Provides call center support for both subjects and requesters
- Provides completed forms to the requester

Fingerprints

- Use digital fingerprints when possible
  - Exclusive IPAC for electronic fingerprints: DA-EFP
  - Approval to Operate (ATO) and Certificate of Networthiness (CoN) - covers all FBI acceptable machines

- When only hardcopy fingerprints are possible, send them to:

  Dept of the Army

  PSI Center of Excellence

  B3240/2201 Aberdeen Blvd

  Aberdeen Proving Ground MD 21005-5001

ORTANT: The above address is ONLY for those using the AIES proc

PSI – COE Interim Clearance Process

- Collateral Top Secret & Secret
  - Immediately after SF8XXX submission to OPM
    - Reviews & identifies “clean” or “issues requiring attention”
    - Provides completed reviewed SF8XXX and other supporting documents directly to requester
    - Commander’s make interim security clearance or exception appointment decisions
- TS/SCI
  - Simultaneously provides completed and reviewed SF8XXX to CCF

LOCAL RECORDS CHECKS & INTERIM SECURITY CLEARANCES

- Effective immediately local records checks are **no longer a required element** for the **submission or request of an initial or periodic background investigation**. This applies to all personnel security and suitability investigations, e.g., An initial or periodic reinvestigation, investigations requested to upgrade a security clearance, or other investigations that support suitability for employment or contract.

- Interim security clearances/exception appointments
  - A local records check **is not required** for a "new civilian employee" or "applicant"
  - Commanders **may** conduct a local records check of personnel, base military police, medical, and local/command security records, as applicable
  - Local records checks are **restricted** to those activities on a military installation, post, camp, or station

- The conduct of a local records check in support of an interim security clearance/exception appointment **will not** delay or impede the submission or request of a background investigation

- Local records checks will be conducted **separate** from the background investigation submission process. Local records check will be completed in a timely manner.

The Deployment of AIES

- Currently serves 510 discrete units, including 90 of 105 CPACs with a proven capacity of 7,320 investigations per month
- Transitioned from proof of concept to an operational program being proliferated throughout the Army
- 4 major blocks:
  - CPAC sites and the security offices that support them (April 2010 targeted completion)
  - Remaining security offices to include remaining installations (September 2010 targeted completion)
  - Accessions community (including those for National Guard and Reserves- October 2010 targeted completion)
  - National Guard and Reserves (April 2011 targeted completion)

Questions?

Planned Expanded Capability

- Requester reporting/dashboarding
- Resource library built into portal
- Requester convenience items:
  - Save investigation requests in-process for later submission
  - View requests submitted by requester/organization
  - Feedback portal
  - Online interactive training module
  - Metrics reporting